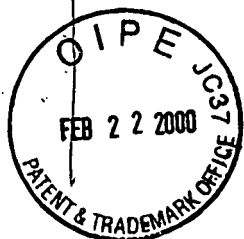


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Burakoff et al  
SERIAL NO.: 09/023,979 GROUP NO.: 2771  
FILING DATE: February 13, 1998 EXAMINER: Mark Terry  
TITLE: Mapping Compliance Information into Useable Format

TECH CENTER 2700

FEB 29 2000

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

AMENDMENT AND RESPONSE

In response to the Examiner's Office Action mailed on August 19, 1999, please amend the application as indicated, and consider the remarks that follow.

AMENDMENTS

In the Claims

Please cancel claims 29 and 31, without prejudice. Please amend claims 1, 3-9, 12-15, 17-25, and 27-28, and add claim 32, as follows:

1. (Amended) A computer-assisted method for manipulating securities information, comprising:  
acquiring securities information from one or more database sources;

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